# Pantomime and language evolution

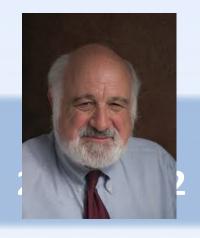
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- I. What is pantomime?
- II. From apes to pantomime
- III. From pantomime to language

# What is pantomime?

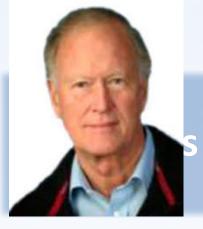
#### LANGUAGE EVOLUTION







#### **PANTOMIME**



s 2014)





#### But what is a

### "pantomimic"

theory of language origins?

**Gesture studies** Speech Theatre studies therapy **PANTOMIME Semiotics Narratology Primatology Neuroscience** 

- "...[pantomime] is difficult to define" (McNeill 2005: 2)
- "...the lack of definition of pantomime." (McNeill 2005: 6)

#### Problem

- The rise of interest in the so-called pantomimic scenarios of language origins is evident in the works of several of the most influential scholars in this field, including Michael Arbib (2005, 2008, 2009, 2012), Michael Tomasello (2008), or the mimesis theorists Merlin Donald (1991, 2001) and Jordan Zlatev (2008) (cf. McNeill, 2013 for an opposing view).
- But what is a PANTOMIMIC SCENARIO?
  - However, the proper classification and evaluation of the 'pantomimic' models of language origins depend as much on their fit with available multidisciplinary evidence (cf. Wacewicz and Żywiczyński 2015), as on proper definitional groundwork
- The underlying problem here is that the very notion of pantomime has not so far been analysed in much theoretical and empirical detail, and across a variety of disciplines is used in ways that are considerably diverse and rather intuitive than systematic. That this is so is testified to even by researchers directly concerned with pantomime, as in the case of

#### What we do in this talk

- Here, we take a look at how the notion of pantomime functions across a variety of fields, from theatrology to semiotics to primatology – with mostly terminological focus, to highlight the similarities but especially the areas of possible misunderstanding.
- We arrive at a definition of pantomime as a communication system that is non-conventional and motivated, (primarily) visual but potentially multimodal, mimetic (volitional and representational), using the whole body rather than exclusively manual, holistic and non-segmental, communicatively complex and self-sufficient, semantically complex, displaced, open-ended and universal.

## "Pantomime" across the disciplines

#### Theatre studies

**panto-mimos**: acting relying on masks, props and movement

A dramatic form communicating through the use of **gesture and movement rather than words** 

Peterson Royce 1992

But as a means of actors' expression: non-conventional

militaristic mime: the Beni and Chama dances

#### **Gesture studies**

Pantomimes are **non-conventional and spontaneous** communicative movements ... performed in **absence of speech**McNeill 2005, 2013

Pantomime is a **re-enactement of actions** and their circumstances and of the actions themselves in a **fairly elaborated ... manner** 

Kendon 2013

elicited pantomime: enacting simple transitive events, such as a man pushing a box

Goldin-Meadow et al. 2008; Meir et al. 2010; Gibson et al., 2013; Hall et al. 2013

#### **Semiotics**

Nonverbal text equipped with its own grammar often used in the company of music and dance

De Marinis 1993

semiotic— and specifically, symbolic—analysis of costumes and props

Wyles 2008

Experimental semiotics: **silent gesture** - silent, iconic depictions of individual concepts with one's hands and arms

Fay et al. 2013; 2014; Schouwstra 2012; Schouwstra and de Swart 2014

#### Therapy/Pedagogy

spontaneous gesturing, both manual and whole-body, of meanings are usually conveyed through words

exaggeration of communicative body movements to increase body awareness, used in affective disorders

reciprocal miming and miming to express emotions

#### Neuroscience

pretend tool use - standard diagnostic tool in apraxia
Hughlings Jackson 1893

pantomimes elicited by verbal commands — imitation by the participant observing someone else execute the action Feyereisen 1999

distinct activation patterns for pantomimes, compared to signs of sign languages

Emmorey et al. 2010

#### **Narratology**

the **representation of an event or a series of events**, told not with words but gestures and related verbal and written work of literature

Abbott 2008

...communicates a **limited repertoire** of events sequences or stories, as they unravel in real time can*not* be re-arranged into flashbacks or flashforwards

Ryan 2012

dependence on verbal, or general cultural, resources

#### **Primatology**

(rich): representational, symbolic, narrative, productive, compositional, systematic, triadically comm., multimodal, reenactive of past events, communicatively versatile (Russon and Andrews 2010, 2011)

#### lean:

- "type of iconic gesturing in which the referent or goal is intentionally re-enacted" (Douglas & Moscovice 2015)
   ...but note at one point: "potentially iconic!"
- "behavior in which actors act out their message" (Russon, in press.)

#### Functions of pantomime

- information-donation
- pedagogy
- expression (emotional, artistic)
- ritualistic

#### **TAKING STOCK**

family resemblance category

huge variability in use

little or no conventionality

exaggerated movements

communictes an action / event

#### **DEFINING PANTOMIME**

#### DEFINITION

- 1. Mimetic
- 2. Motivated and non-conventional
- 3. Improvised
- 4. (Primarily) **visual**, but potentially multimodal
- 5. Using the whole body
- 6. Holistic

## DEFINITION ctd: constraints from language origins

- 7. Communicatively complex and self-sufficient
- 8. Semantically advanced: displaced, open-ended and semantically universal



#### **MIMETIC**

- intentional
- imitative
- representational

pantomime ≈ **bodily mimetic** communication

Donald (1991), Zlatev (2008)

...but not synonymous (different traditions)

#### **MOTIVATED**

#### **ICONIC**

#### **NON-CONVENTIONAL**

(emergence of conventions = major explanatory target!)

→ universal

→ not culture-specific

#### **IMPROVISED**

impromptu, spontaneous, one-off "ad hoc", "artless", "naïve" (Arbib 2013)

- → creative
- → open-ended semantics

- → not normative, unstandardized
  - → online invention & interpretation (cf. Poggi 2007)
  - → low replication fidelity, low efficiency
    - → pressures for conventionalisation

#### **VISUAL**

#### primarily visual

(at least for referential meanings)

#### ...but potentially multimodal

(vocalization for nonreferential, e.g. emotional meaning)

#### multimodal pantomime

- → "division of labour" visual/vocal?
- → "more than sum of parts" effects?

#### WHOLE-BODY

integrated movement of multiple body parts

manual gestures, body movements, facial expressions, voluntarily enacted mannerisms

- → energetically costly!
  - → honesty
  - → pressures for efficiency

#### **HOLISTIC**

stream of movement:
no (obvious) onsets / terminations

does not naturally decompose into easily isolable component parts

refers to whole events or sequences of events

#### **SELF-CONTAINED**

complex, **stand-alone** communicative acts (*propositions*, *speech acts*, steps in a *language game*)

comprehensible even w/o other semiotic resources (e.g. if there is no language at all)

→ if no context provided, still comprehensible

#### **RICH & SOPHISTICATED**

displaced

open-ended

semantically universal

→ is it possible to square these with the previous criteria?

### What's NOT pantomime?

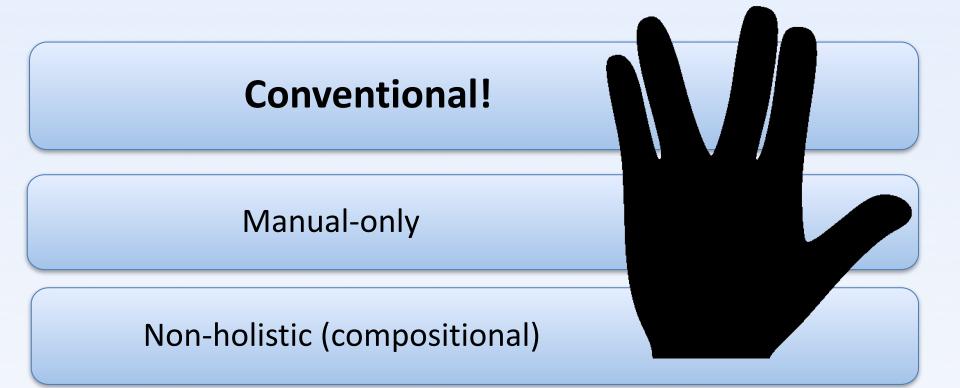
## Co-speech gesturing, language-slotted gestures



Co-present with **fully fledged language**!

Expressive power too limited

#### Emblems, signs, etc.



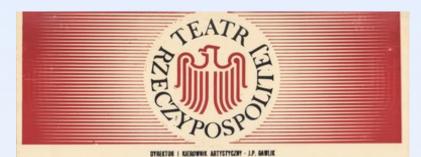
Tic-tac signs: domain-specific (no semantic universality)

#### **Charades**



Conventional!!! (cf. e.g. Arbib 2012)

#### **On-stage miming**





Dyrektor i Kierowsk Artystyczny - HERRYK TOMASZEWSKI

XVIII PROGRAM

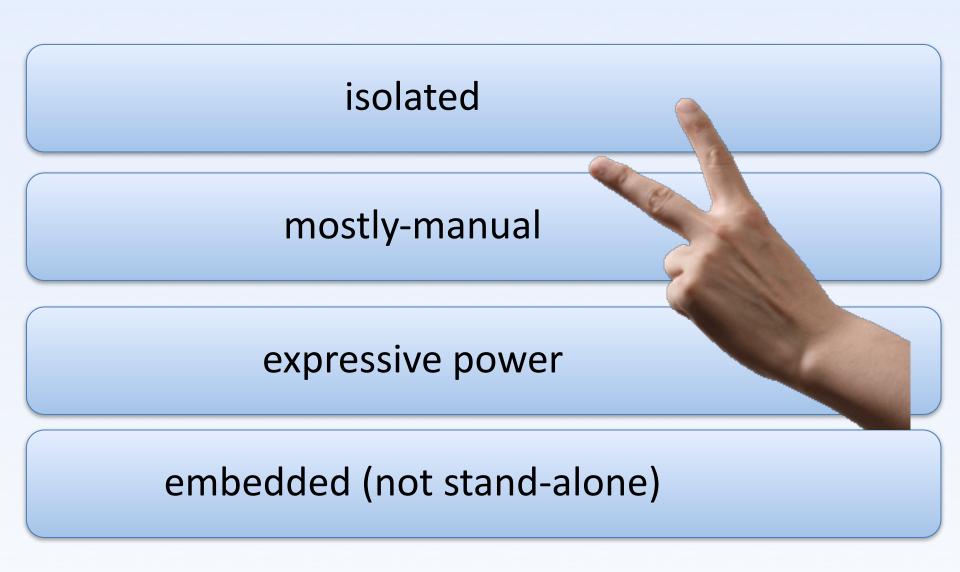
Henryka Tomaszewskiego

ZOFIA de INES-LEWCZUK

Johann Sebastian Bach, Claude Debussy, Orlando Gibbons, Gerard Hoffnung oraz Bogdan Dominik



#### Imitated instrumental gestures



#### "Silent gesture"

isolated (not holistic)

#### Simple

(equivalents of lexical units, not propositions)

Mostly-manual (not whole-body)





Fay et al. 2013, 2014

#### What's not pantomime?

emblems and signs of a signed language

Tic-tac signs (cf. Waterman 1999)

charades (a point observed by Arbib 2012

miming as a theatrical performance relies on conventional signals to an unexpectedly large degree.

Co-speech gesturing

Language-slotted gestures

Imitation of instrumental actions, esp. tool-use, commonly called pantomimes in the neuroscientific literatures (see above)

silent gesture

#### What's not pantomime?

Examples are *emblems* and *signs* of a sign language, which – even if having detectable iconicity – are clearly conventional (also: isolated rather than holistic, and mostly manual-only). Such is also the case with *Tic-tac signs* (cf. Waterman 1999) and other similar domain-specific systems (also: isolated, not semantically universal, and mostly manual-only), and less obviously, activities such as *charades* (a point observed by Arbib 2012). There are also reasons to believe that most contemporary miming as a theatrical performance relies on conventional signals to an unexpectedly large degree. Co-speech gesturing might again contain identifiable iconicity, but is not self-contained in requiring obligatory presence of speech, and also there are limits as to its volitionality, representationality and semantic-referential potential. Language-slotted gestures, which McNeill (2013 in contrast to McNeill 1992) takes as instantiating "pantomimes", are likewise dependent on co-present speech. Imitation of instrumental actions, esp. tool-use, commonly called pantomimes in the neuroscientific literatures (see above) are isolated, mostly manual-only, communicatively simple and not self-sufficient. A particularly interesting example is silent gesture, increasingly common in the experimental research on language origins to the point of becoming a paradigm (e.g. Fay et al. 2013, 2014). It is also sometimes referred to as "pantomime" (Kirby 2015), but is mostly isolated and simple (gestures usually denote lexical concepts, not utterances) as well as exclusively manual -

## What is pantomime? **SUMMARY**

#### **Definition**

**NON-CONVENTIONAL** 

**VISUAL** 

(primarily)

**MIMETIC** 

**HOLISTIC** 

**WHOLE-BODY** 

**COMPLEX AND SELF-SUFFICIENT** 

**SEMANTICALLY RICH & ADVANCED** 

#### **Taking stock**

#### definition needed

unified, unequivocal

rich, not lean!

#### challenges

integrate existing mutlidicisplinary research

launch new empirical studies

# II From apes to pantomime

#### Cognitive preconditions

#### Cognitive preconditions

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#### Pantomime in apes

- no pantomime in apes (Zuberbuhler 2013)
  - and almost no iconicity (but see Tanner & Byrne 1996)
  - existing cases likely do not involve "cognitive" iconicity (Perlman et al. 2014)
- well, isolated cases (ca. 60) (Russon, in press)
  - very few if any in the wild (Douglas & Moscovice 2015?)
  - dyadic; common ground & history of interaction crucial, embedded in a history of recurring events: stereotyped and scripted (Russon, pc)
  - mostly imperative, sometimes affiliative, rarely declarative
- not cognitive but motivational limitations? (e.g. Genty and Zuberbühler 2015)

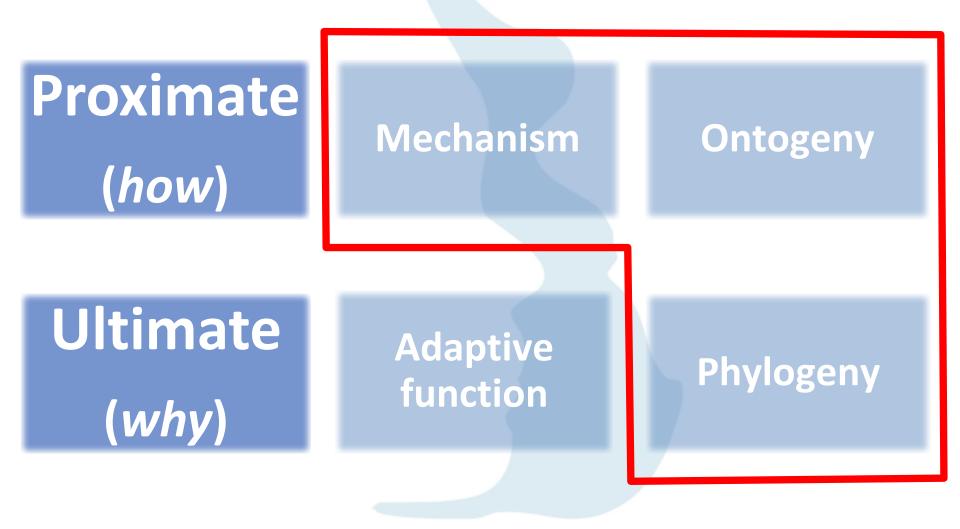
## Socio-ecological preconditions

(the ultimate perspective on communication)

socioecological conditions in which cooperation (in communication) consistently pays off more than defection

precondition for all information donation!

#### Communication: ultimate perspective



#### Communication: ultimate perspective

Proximate (how)

Mechanism

Ontogeny

Ultimate (why)

**Adaptive function** 

Phylogeny

#### Communication: ultimate perspective

Ultimate = "fitness consequences" (Scott-Phillips et al. 2011)

- = What does selection say?
- → payoffs

#### Communication

- up until 1960s:
  - "(Helpfully) update others' knowledge" cooperative model
  - → donate information
- esp. after Krebs & Dawkins 1978, 1984:
  - "Maximise fitness"
    - cynical model
    - → manipulate

#### Communication

#### When is communication information donation?

#### **Alignment of interests**

- interests aligned
  - --> cooperative model
- interests disaligned
  - --> cynical model

#### Cooperation (ultimate perspective)

- 1. "To co-operate is to work together for a joint benefit" (Brinck and Gärdenfors, 2003)
- 2. "A cooperator is someone who pays a cost, c, for another individual to receive a benefit, b" (Nowak, 2006).

#### Cooperation (ultimate perspective)

- 1. Benefit − Benefit → mutualism
- 2. "A cooperator is someone who pays a cost, c, for another individual to receive a benefit, b" (Nowak, 2006).

#### Cooperation (ultimate perspective)

- 1. Benefit − Benefit → mutualism
- 2. Cost Benefit  $\rightarrow$  cooperation
  - ...that's why in nature, the **default** is Defection, not Cooperation!
  - ... and at least information donation is cooperation in this sense

#### "Language is cooperative..."

- 1. Using the same language "playing tennis as opposed to not showing up to the game" (Hurford 2007)
- 2. Low-level logistics of conversation proxemic alignment, backchannels, etc.
- 3. Deception = exception not default
- 4. Gricean Maxims
- 5. Preference organisation in CA
- 6. Collaborative face maintenance

#### Language

#### AS IF people had aligned interests

people do not have aligned interests

#### Language

#### AS IF people had aligned interests

- people do not have aligned interests!!!
- and yet in language they behave AS IF they did

"language is cooperative"=

#### Platform of trust

#### PoT is an explanatory target, not a given!

(Hurford 2007, Tomasello 2008, Fitch 2010, Dor et al. 2014, Wacewicz 2015, Burkart et al. 2009, Rossano in prep.)

pantomime is honest information donation



pantomime requires the platform of trust

What's the 'ontological status' of PoT?

#### a social "niche"

- → so it's not cognitive
- → but proximately, implemented in minds/brains

#### example:

- Mitteilungsbedürfnis (Fitch 2010) in the signaller
- a counterpart in the receiver!

#### Ontogenetic ritualisation

This assumes a **common goal** / joint goal (**aligned interests** → PoT)

- may work in dyads based on kinship (cf. Fitch 2002, Laland 2017)
- → difficult to scale up beyond the dyad

Language needs a society!

#### Ontogenetic ritualisation

This assumes a **common goal** / joint goal (**aligned interests** → PoT)

- may work in dyads based on kinship (cf. Fitch 2002, Laland 2017)
- → difficult to scale up beyond the dyad

Language needs a society(-wide PoT)!

#### Where does PoT come from?

- from within social interaction itself
  - (e.g. Wacewicz et al 2017)?
- from the outside (more 'hardwired')
  - many theories (e.g. Zlatev 2014)
    - esp. cooperative breeding
      - e.g. Tomasello et al. (2012) "interdependence"
      - → Tomasello & Gonzalez-Cabrera (2017) "composite model"

## From apes to pantomime SUMMARY

#### **Preconditions for pantomime**

- 1. Some extended cognitive capacities.
- 2. Platform of trust.

## III From pantomime to language

#### Pantomime à la McNeill

#### Cf. pantomime for pedagogy Gärdenfors 2017

The mimer performs the movements of the actions involved in the task without actually performing the actions.

The mimer makes sure that the learner **attends** to the series of actions.

The mimer's **intention** is that the learner can perceive the right actions in the correct sequence.

The mimer **exaggerates** and slows down some of the actions in order to facilitate for the learner to perceive important features.

#### Pantomimic story telling

EVENT  $1 + EVENT 2 + ... + EVENT N_{\perp}$ 

global coherence (Ferretti et al. 2017)

Traditional narratives



#### Conventionalisation

#### unstandardised

- high cost (energy, time, cognitive resources)
- →low communication efficiency, low replication fidelity
- pressures for conventionalisation

(Hutto 2008: 269, Arbib 2012: 219, Corballis 2014: 185, 2015: 91)

## Source of pressure for conventionalisation

#### Interactivity

- i.e. turn-taking regime (Wacewicz & Zywiczynski in press):
- alternation,
- synchrony (online timing),
- conditional relevance,
- egalitarian role-reversibility

#### Alternation in Linguistic TT

The minimal requirement of TT: interacting parties must execute their appropriate actions in a coordinated fashion.

- appropriate for many examples of non-linguistic turntaking (games, including competitive sports games)
- highlights Sacks and colleagues "turn-taking" rules:
  - a. If C[urrent speaker] selects N[ext speaker] in current turn, then C must stop speaking, and N must speak next, ...
  - b. If C does not select N, then any (other) party may selfselect, first speaker gaining rights to the next turn
  - c. If C has not selected N, and no other party self-selects under option (b), then C may (but need not) continue ... (Sacks et al., 1978)

## Synchrony (fast-paced temporal coordination)

TT results from the interaction between two pressures – to **minimise gaps** between interactants' respective turns and to **avoid overlaps** between these turns (Sacks et al., 1974).

- turn-onsets appear faster than it takes to plan a turn-contribution (>500 ms. vs. 600 ms. latencies for planning a single lexeme)
- TT signals and cues: lexico-syntactic (e.g. Ruiter et al., 2006), prosodic signals (e.g. Couper-Kuhlen and Setling, 1996), visually transmitted (gestures, Kendon, 2004; adaptors, Zywiczynski et al., 2017; gaze, Ho et al., 2015; posture, Schegloff, 1998)

#### **Conditional relevance**

Why does linguistic turn-taking constitute an explanatory target?

Not because turn-responses come so fast - but because they come so fast even though they need to be planned so as to make sense.

Due to the <u>semantically open-ended</u> nature of language, linguistic turn-taking is possible because conversants are able to interpret each others' contributions in an online fashion – both their length and type, and adjust their responses accordingly.

#### Egalitarian role reversibility

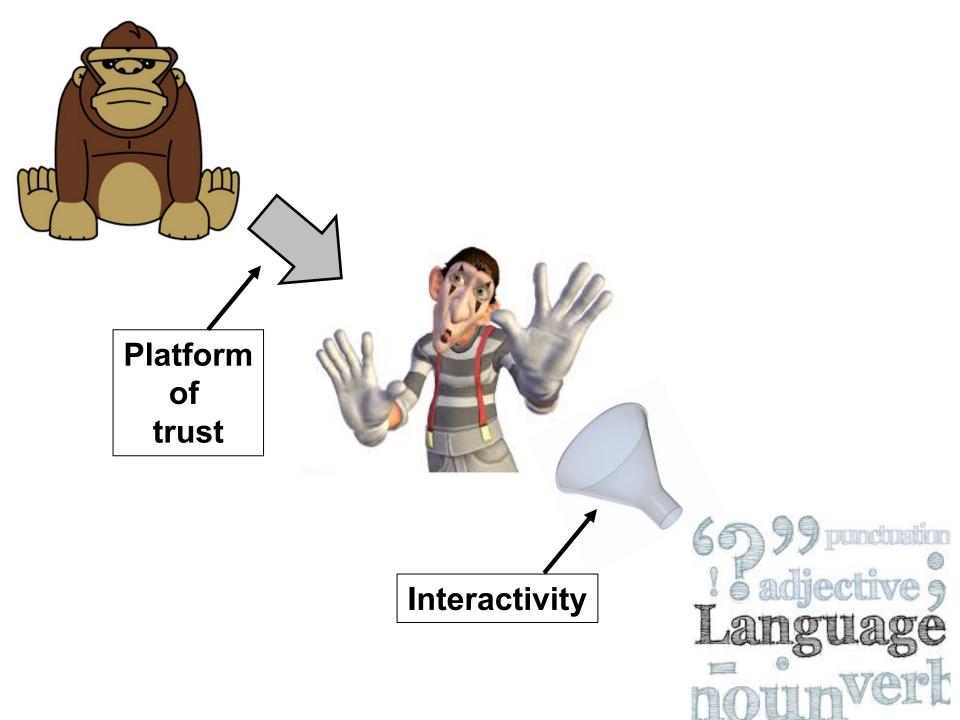
The core ecological niche for language: face-to-face conversational interaction (Torreira et al., 2015)

The most **prototypical** type of face-to-face conversational interaction: **casual** as opposed to institutional interaction (Drew and Heritage, 1992).

The defining characteristics: no stable ascription of interactional roles in conversation, which leads to the egalitarian reversibility of the speaker/hearer roles

Conversational interaction is regulated (primarily) by conversation specific rules (TT rules) and not by social variable, such as status or kinship.

#### **TAKING STOCK**



### Evolang 12

16-19 April 2018 in Toruń, Poland



